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PATENT  
Docket No. H 3799 PCT/US

# 657

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Mueller et al.

International Application No. PCT/EP99/05785  
International Filing Date: August 10, 1999

Serial No. 10/030,038	Examiner:	To be assigned
Filed: To be assigned	Art Unit:	To be assigned
Confirmation No. 8946		

**TITLE:** METHOD FOR PERMANENTLY SHAPING KERATIN FIBRES, AND AGENTS

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

ATTN: DO/EO/US

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants disclose herewith the documents listed on the enclosed IDC form PTO-1449. In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document listed is enclosed. Applicants respectfully request that the Examiner consider the documents and evidence that consideration by initialing the IDC form next to each listed document.

Where a translation has not been provided for a non-English document, the relevance is explained in: (a) this application, (b) the enclosed English language search report issued by the European Patent Office in the international stage of this application (PCT/EP99/05785), and/or (c) an English language equivalent identified in parentheses in the "Country" column under Foreign Patent Documents of the enclosed form PTO-1449. It is noted that any English equivalent listed in the "Country" column under Foreign Patent Documents, is not intended to serve as a translation for the foreign patent document, but is being submitted to provide the Examiner with patent family information and to explain the relevance of the foreign patent document.

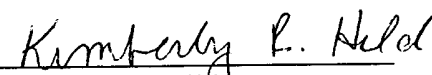
**Intl. Appln. No. PCT/EP99/05785**  
**Mueller et al.**

**Docket No. H 3799 PCT/US**

This Statement does not represent that a search was made or that no better art exists and does not constitute an admission that the listed documents are material or constitute prior art. Therefore applicants reserve the right to present to the Examiner the relevant facts and law regarding the status of each listed document. Applicants further reserve the right to take appropriate action to establish patentability of the claimed invention over the listed documents, should one or more of them be applied against the claims.

This statement is being submitted within three months of entering, as set forth in 37 C.F.R. § 1.491, the National Stage of the above identified application, and therefore no fee for submitting this IDS is believed to be due. However, the Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. §1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office.

Respectfully submitted,

  
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Enclosures:

1. PTO Form 1449 w/references
2. International Search Report

Form PTO-1449		Docket No: H3799 Pct/US		Serial No: 10/030,038	
INFORMATION DISCLOSURE CITATION		Applicant: Mueller et al.			
		Filing Date:		Group:	
US PATENT DOCUMENTS					
Examiner Initial*		Document Number	Date	Name	Class Sub-class Filing Date if Approp.
	AA	5,093,113	3/3/92	Rubinstein et al.	424 72
FOREIGN PATENT DOCUMENTS					
Examiner Initial *		Document Number	Date	Country	Class Sub-Class English Translati on Yes No
	AB	30 09 763	9/24/81	Germany	
	AC	60 81117	5/9/85	Japan	
	AD	195 34 723	1/2/97	Germany	
	AE	197 10 154	9/17/98	Germany	X
	AF	44 36 065	4/11/96	Germany	X
	AG	0 363 057	4/6/94	Europe	
	AH	39 29 973	3/14/91	Germany (CA 2,066,226)	X
	AI	2,066,226	3/9/91	Canada	
OTHER DOCUMENTS					
	AJ	Patent Abstracts of Japan, Vol. 009, No. 218 (C-301), of JP 60 081117 (September 1985)			
	AK	Umbach, Kosmetik, 2 <sup>nd</sup> Edition, pages III-XVIII, George Thieme Verlag, Stuttgart, New York (1995)			
	AL	Umbach, Kosmetik, 2 <sup>nd</sup> Edition, pages 259-275, George Thieme Verlag, Stuttgart, New York (1995)			
	AM	K. Schrader, Grundlgen un Rezepturen der Kosmetika [Bases and Formulations in Cosmetics], 2 <sup>nd</sup> Edition, pages pages 10-23, Huethig Buch Verlag, Heidelberg, Germany (1989)			
	AN	K. Schrader, Grundlgen un Rezepturen der Kosmetika [Bases and Formulations in Cosmetics], 2 <sup>nd</sup> Edition, pages 782-804, Huethig Buch Verlag, Heidelberg, Germany (1989)			
Examiner:			Date Considered:		

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.